

個人身份聲明

1. 本個人聲明內容所述之公司名稱：_____
2. 申報人姓名：_____
3. 性別： 男性 女性
4. 出生日期：_____ 身份證/護照號碼：_____ 出生地點：_____
5. 住址：_____
6. 閣下是否
 - a) 公司董事之一？ 否 是
 - b) 公司股東之一？ 否 是， _____ % 控制權
7. 閣下是否最終權益擁有人？
 否，最終權益擁有人姓名：_____ 身份證/護照號碼：_____ 電話：_____
住址：_____
 是，
 - a) _____ % 控股權
 - b) 請說明當中的利益分布

 - c) 請說明 (如有) 與其他權益擁有人之間的業務、合約或家族關係

8. 閣下是否任何其股份可在交易所或市場買賣的(上市)公司之高級人員或董事？
 否
 是，有關公司名稱及上市編號如下：_____
9. 閣下是否香港證券及期貨事務監察委員會中介人之董事、持牌代表或負責人員？
 否
 是，有關中介人之名稱如下：_____ 閣下所擔任之職位：_____
10. 閣下是否與「雅景證券股份有限公司」任何僱員或董事有親屬關係？
 否
 是，僱員/董事姓名：_____ 關係：_____
11. 閣下是否被委任為重要公職(例如：國家或政府元首、重要政客、高級政府官員、高級司法人員、高級軍官、國家企業高級行政人員、宗教領袖或以上人士的家人或有聯繫人士)？
 否
 是，請說明 _____

本人茲此聲明及保證上列陳述均為真實無訛，並承諾即時知會「雅景證券股份有限公司」有關上述公司董事/股東/實益擁有人的申報有所變動的情況。

簽署

見證人#

簽署人姓名:

見證人姓名

日期:

所屬專業/職銜:

日期:

合資格見證人包括公證人、律師、會計師、特許秘書、持牌銀行分行經理、太平紳士、發出身分核實文件的國家的大使館、領事館或高級專員公署的人員或其他證監會持牌人。



Self-Declaration Form

1. Name of the Company in connection with which this declaration is being complete:

2. Surname: _____ Given Name: _____
3. Gender: Male Female
4. Date of Birth: _____ ID / Passport No.: _____ Place of Birth: _____
5. Residential Address: _____
6. Are You
- a) a Director of the Company? No Yes
- b) a Shareholder of the Company? No Yes, _____ % controlling interest
7. Are you an ULTIMATE Beneficial Owner of the Company?
- No, the ULTIMATE Beneficial Owner(s) is/are:
Name: _____ ID/Passport No.: _____ Tel: _____
Address: _____
- Yes,
- a) _____ % controlling interest
- b) please specify the nature and distribution of the interests in the Company

- c) please specify (if
d) any) the nature and extent of any business, contractual or family relationship among the ULTIMATE Beneficial Owners

8. Are you a senior officer or director of any (listed) company whose shares are traded on any exchange or market?
- No
- Yes, the company name and stock code are: _____
9. Are you the director, licensed representative or RO of intermediaries licensed under the Securities and Futures Commission (SFC) of Hong Kong?
- No
- Yes, name of the intermediary _____ Your Capacity: _____
10. Are you related to any employee or director of Acer King Securities International Limited?
- No
- Yes, Name of the employee/director: _____ Relationship: _____
11. Are you a person entrusted with prominent public functions (e.g. Head of State or of government, senior politicians/important political officials, senior government officials, senior judicial officials, senior military officials, senior executives of state owned enterprises, religious leaders, or family members or close associates of the above-mentioned parties)?
- No
- Yes, please specify _____

I CERTIFY that the above information is complete and correct to the best of my knowledge and belief, and I undertake that I will promptly notify Acer King Securities International Limited of any material changes affecting the completeness of the answers to the above questions on DIRECTOR/SHAREHOLDER/ULTIMATE BENEFICIAL OWNER of the above company.

Signed by _____

Witnessed by _____

Name: _____

Name: _____

Date: _____

Profession/Title: _____

Date: _____

A suitable witness shall include a Notary Public, Lawyer, Certified Public Accountant, a Chartered Secretary, a Branch Manager of a licensed Bank, a Justice of Peace, an officer of an embassy, consulate or high commission of the country of issue of documentary verification of identity or any other SFC licensed person.